# Xceptor for Regulatory Reporting

## Transform Compliance with Data Automation

Regulatory Reporting is a Moving Target – Are You Keeping Up?

As global financial regulations multiply and grow more complex (MiFID II, EMIR Refit, CSDR), the pressure on capital markets participants intensifies.

Financial institutions need to deliver accurate, timely regulatory reporting while adapting quickly to evolving compliance requirements. However, most organizations rely on rigid IT systems that create bottlenecks when regulations change. This dependency leads to costly and prolonged development cycles, manual workarounds, and increased operational risk (due to an inability to adapt quickly).

The result is a cascade of problems:

- Missed compliance deadlines
- Expensive IT projects
- Error-prone manual processes
- Inefficient allocation of skilled resources to low-value data manipulation tasks.

Firms caught between regulatory deadlines and IT constraints increasingly seek technology solutions that put control back in the hands of the business.

## The Smarter Way to Automate Regulatory Data Prep

Xceptor empowers operations teams to control their compliance destiny through a purpose-built automation platform that removes IT dependencies from the reporting process so they can react quicker to regulation changes.

Our solution bridges the gap between disparate source systems and your regulatory reporting engines so you can future-proof your compliance and adapt regardless of how regulations evolve.

## **Key Capabilities:**

#### Comprehensive Data Integration

Automatically ingest data from any source—structured or unstructured—including proprietary systems, industry standard platforms, and manual inputs.

#### Business-Led Rule Management

Configure and modify data transformation rules when regulations change (without code), eliminating IT bottlenecks.

#### Intelligent Data Transformation

Apply complex business logic to normalize, validate, and enrich data according to regulatory specifications.

#### End-to-End Auditability

Maintain complete data lineage and transformation history to satisfy regulatory scrutiny and internal controls.

## Your Regulatory Reporting Workflow

Empower business users with a data schema they can adapt as and when regulations change.



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### **Data Ingestion**

- Automatically collect data from multiple sources- core systems, trading platforms, abc
- Where data is in unstructured documents, data extraction
- Standardize formats and reconcile inconsistencies across inputs
- Identify and flag data quality issues early in the process

### **Data Transformation**

- Apply business-defined rules to map raw data to regulatory schemas
- Perform complex calculations and aggregations required by regulations
- Validate data against regulatory requirements and business rules
- Enrich data with reference information for complete reporting

## **Publishing & Distribution**

- Generate formatted outputs for your regulatory reporting systems
- Create audit-ready data packages with complete lineage
- Automatically distribute data to downstream systems
- Archive reporting snapshots for historical

## Regulatory Reporting Without the Operational Drag

- Reduce Regulatory Change Time
   Implement new requirements in days rather than months with business-led rule changes.
- Cut Operational Costs
   Eliminate manual data manipulation and reduce
   FTEs (or reliance on outsourced resources) while also decreasing IT development expenses for regulatory change.
- Mitigate Compliance Risk

Ensure consistent data quality, remove human error, and maintain clear audit trails for regulatory scrutiny.

Redeploy Skilled Resources

Free your regulatory experts from data preparation tasks so they can focus on compliance strategy and regulatory insight.